

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: CTX-057 (1545/104)

APPLICANT(S): Kramer et al.

SERIAL NO.: 09/706,117

FILING DATE: November 3, 2000

RECEIVED

MAR 19 2001

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AM	A1	4,924,378	05/08/90	Hershey et al.	364	200	06/13/88
AM	A2	5,204,897	04/20/93	Wyman	380	4	07/14/92
AM	A3	5,359,721	10/25/94	Kempf et al.	395	425	12/18/91
AM	A4	5,390,297	02/14/95	Barber et al.	395	200	11/10/87
AM	A5	5,412,717	05/02/95	Fischer	380	4	05/15/92
AM	A6	5,455,953	10/03/95	Russell	395	739	11/03/93
AM	A7	5,491,750	02/13/96	Bellare et al.	380	21	12/30/93
AM	A8	5,504,814	04/02/96	Miyahara	380	4	01/24/94
AM	A9	5,509,070	04/16/96	Schull	380	4	12/15/92
AM	A10	5,524,238	06/04/96	Miller et al.	395	600	03/23/94
AM	A11	5,544,246	08/06/96	Mandelbaum et al.	380	23	09/17/93
AM	A12	5,550,976	08/27/96	Henderson et al.	395	200.06	12/08/92
AM	A13	5,550,981	08/27/96	Bauer et al.	395	200.06	06/21/94
AM	A14	5,553,139	09/03/96	Ross et al.	380	4	04/04/94
AM	A15	5,557,732	09/17/96	Thompson	395	161	08/11/94
AM	A16	5,564,016	10/08/96	Korenshtein	395	186	09/29/95
AM	A17	5,592,549	01/07/97	Nagel et al.	380	4	06/15/95
AM	A18	5,604,490	02/18/97	Blakley, III et al.	340	825.31	09/09/94
AM	A19	5,638,513	06/10/97	Ananda	395	188.01	06/07/95
AM	A20	5,657,390	08/12/97	Elgamal et al.	380	49	08/25/95
AM	A21	5,666,501	9/9/97	Jones et al.	345	348	3/30/95
AM	A22	5,668,999	09/16/97	Gosling	395	704	12/20/94
AM	A23	5,689,708	11/18/97	Regnier et al.	395	682	03/31/95
AM	A24	5,729,734	03/17/98	Parker et al.	395	609	11/03/95
AM	A25	5,737,416	04/07/98	Cooper et al.	380	4	08/02/96

FORM PTO - 1449				ATTORNEY DOCKET NO.: CTX-057 (1545/104)					
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Kramer et al.					
MAR 16 2001				SERIAL NO.: 09/706,117					
				FILING DATE: November 3, 2000					
				Technology Center 2100					
U.S. PATENT DOCUMENTS									
AM	A26	5,742,757	04/21/98	Hamadani et al.		395	186	05/30/96	
AM	A27	5,794,207	08/11/98	Walker et al.		705	23	09/04/96	
AM	A28	5,944,791	8/31/99	Scherpbier		709	218	10/4/96	
AM	A29	5,956,407	09/21/99	Slavin		380	30	07/08/97	
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
AM	B1	0 679 980 A1	11/02/95	EP	GO6F	1/00	04/18/95	N	Y
AM	B2	0 851 628 A1	07/01/98	EP	HO4L	9/08	12/23/96	N	Y
AM	B3	2 168 831 A	06/25/86	GB	HO4L	11/26	11/13/84	N	Y
AM	B4	97/18635	05/22/97	WO	HO4L	29/06	09/20/96	N	Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
AM	C1	"IBM: "IBM Operating System/2 Version 1.2 Profile Validation Hooks", pages 1-26, November 22, 1989.							
AM	C2	N.F. Maxemchuk, S. Low, "The Use of Communications Networks to Increase Personal Privacy" from <u>IEEE INFOCOM' 95</u> , Proceedings, Vol. 2, April 2-6/1995, Pages 504-512.							
AM	C3	P.Y.A. Ryan, S.A. Schneider, "An attack on a recursive authentication protocol A cautionary tale" <u>Information Processing Letters</u> 65, 1998 Elsevier Science B.V., pages 7-10							
AM	C4	Dave Otway and Owen Rees, "Efficient and Timely Mutual Authentication", The ANSA Project, 24 Hills Road, Cambridge CB21JP United Kingdom, pages 8-10							
AM	C5	Lawrence C. Paulson, "Mechanized Proofs for a Recursive Authentication Protocol" Computer Laboratory, University of Cambridge, Pembroke Street, Cambridge CB2 3QG, England, pages 84-94							
AM	C6	Li Gong, "Using One-Way Functions for Authentication" University of Cambridge Computer Laboratory, Cambridge CB2 3QG, England, July 1989, pages 8-11							
AM	C7	Mark Nicholson, "How To Hold Mobile Users To Account" Financial Times, Tuesday, May 2, 2000.							
AM	C8	Tony Savvas, "Handset Giants Launch Security System" www.computerweekly.com							
AM	C9	Charles Seife, "Algorithmic Gladiators Vie For Digital Glory" www.sciencemag.org, Science, Vol 288, May 19, 2000, pages 1161 & 1163.							
AM	C10	Russell Kay, "Authentication" Computerworld, March 27, 2000, pages 77-79.							

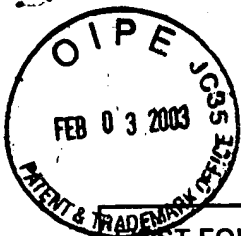


OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
<i>JA</i>	C11	Tom Yager, "OS Paradise" BYTE, November, 1995
<i>M</i>	C12	Bridget Allison, Robert Hawley, Andrea Borr, Mark Muhlestein, David Hitz, "File System Security: Secure NetFwork Data Sharing For NT And UNIX" Network Appliance, Inc., Tech Library, January 16, 1998 pages 1-16 (double sided)
<i>JA</i>	C13	"Network Neighborhood", http://www.internet.com , © 1999 internet.com
<i>JA</i>	C14	Howard Millman, "Give Your Computer the Finger", Computerworld, March 27, 2000
<i>JA</i>	C15	B. Clifford Neuman, "Proxy-Based Authorization and Accounting for Distributed Systems", © IEEE, May 25, 1993, pages 283-291
<i>JA</i>	C16	Win95 Tutorial: Index of Topics, http://orion.valencia.cc.fl.us/tutorials/Win95demo/net.html , printed 12/27/99 (2 pages)
EXAMINER	<i>[Signature]</i>	
	DATE CONSIDERED	<i>4/24/03</i>

ABRAMSAF\1545\104.2045067_1

RECEIVED
MAR 19 2001
Technology Center 2100



SHEET 1 OF 1

FIRST FORM PTO - 1449

FIRST SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: CTX-057

APPLICANTS: Kramer et al.

SERIAL NO.: 09/706,117

FILING DATE: November 3, 2000
Technology Center 2100

GROUP: 2131

RECEIVED

FEB 05 2003

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AM	A30	5,706,349	1/6/98	Aditham et al.	380	25	

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
AM	B5	98/38,762	9/3/98	WO					Y
AM	B6	99/35,783	7/15/99	WO					Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
AM	C17	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US 01/45461, mailed on November 13, 2002, 7 pages.							
AM	C18	Sirbu et al., "Distributed Authentication in Kerberos Using Public Key Cryptography," Carnegie Mellon University, 1997.							
AM	C19	Stallings, William, "Cryptography and Network Security: Principles and Practice - Confidentiality Using Conventional Encryption," Prentice Hall, pgs. 131-157, 1998.							
AM	C20	Dawson et al., "Key Management in a Non-Trusted Distributed Environment," <i>Future Generation Computer Systems</i> , Elsevier Science Publishers, Amsterdam, Vol. 16, No. 4, pgs. 319-329, Feb. 2000.							

EXAMINER

DATE CONSIDERED

11/20/02

2545844_1